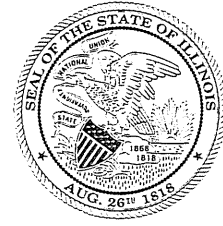


# STATEMENT OF ECONOMIC INTERESTS

TO BE FILED WITH  
THE SECRETARY OF STATE



(Type or print name and address in the blank space below.)

Bruce Rauner

207 State House  
Springfield, Illinois 62706

**FILED**  
**INDEX DEPARTMENT**  
**APR 28 2017**  
**IN THE OFFICE OF**  
**SECRETARY OF STATE**

GOVERNOR

(List each office or position of employment for which this Statement is filed.)

## GENERAL DIRECTIONS

The interest (if constructively controlled by the person making the statement) of a spouse or any other party shall be considered to be the same as the interest of the person making the statement. Campaign receipts shall not be included in this statement.

(If more space is needed, please attach supplemental listing.)

1. List the name and instrument of ownership in any entity doing business in the State of Illinois, in which the ownership interest held by the person at the date of filing is in excess of \$5,000 fair market value or from which dividends in excess of \$1,200 were derived during the preceding calendar year. (In the case of real estate, location thereof shall be listed by street address or, if none, by legal description.) No time or demand deposit in a financial institution nor any debt instrument need be listed.

Business Entity

Instrument of Ownership

See Attached

2. List the name, address and type of practice of any professional organization in which the person making the statement was an officer, director, associate, partner or proprietor, or served in any advisory capacity, from which income in excess of \$1,200 was derived during the preceding calendar year.

Name

Address

Type of Practice

None

3. List the nature of professional services rendered (other than to the State of Illinois) of each entity from which income exceeding \$5,000 was received for professional services rendered during the preceding calendar year by the person making the statement.

None

4. List the identity (including the address or legal description of real estate) of any capital asset from which a capital gain of \$5,000 or more was realized during the preceding calendar year.

See Attached

5. List the identity of any compensated lobbyist with whom the person making the statement maintains a close economic association, including the name of the lobbyist and specifying the legislative matter or matters that are the object of the lobbying activity, and describing the general type of economic activity of the client or principal on whose behalf that person is lobbying.

Lobbyist	Legislative Matter	Client or Principal
<u>None</u>		

6. List the name of any entity doing business in the State of Illinois from which income in excess of \$1,200 was derived during the preceding calendar year, other than for professional services, and the title or description of any position held in that entity. (In the case of real estate, location thereof shall be listed by street address or, if none, by legal description.) No time or demand deposit in a financial institution nor any debt instrument need be listed.

Entity	Position Held
<u>See Attached</u>	

7. List the name of any unit of government that employed the person making the statement during the preceding calendar year other than the unit or units of government in relation to which the person is required to file.


None

8. List the name of any entity from which a gift or gifts, or honorarium or honoraria, valued singly or in the aggregate in excess of \$500, was received during the preceding calendar year.

None

VERIFICATION

I declare that this Statement of Economic Interests (including any accompanying schedules and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of my economic interests as required by the Illinois Governmental Ethics Act. I understand that the penalty for willfully filing a false or incomplete statement shall be a fine not to exceed \$1,000 or imprisonment in a penal institution other than the penitentiary not to exceed one year, or both fine and imprisonment.

  
 (Signature of person making Statement)

4-25-17  
 (Date)

**NOTE: This statement must be filed in the Office of the Secretary of State, Index Department, Ethics Section, 111 E. Monroe, Springfield, IL 62756.**

**Question 1: List the name and instrument of ownership in any entity doing business in the State of Illinois, in which the ownership interest held by the person at the date of filing is in excess of \$5,000 fair market value or from which dividends in excess of \$1,200 were derived during the preceding calendar year. (In the case of real estate, location thereof shall be listed by street address or, if none, by legal description.) No time or demand deposit in a financial institution nor any debt instrument need to be listed.**

<u>Entity Name</u>	<u>Instrument of Ownership</u>
233 S. Wacker Investments LLC	Membership interest
63 Chrome	Partnership Interest
7Wire Ventures Fund LP	Partnership interest
Accretive Health Inc	Stock
Arbor Investments II – AIV Fund QP	Partnership interest
Arbor Investments II – QP LP	Partnership interest
Arbor Investments III LP	Partnership interest
Arbor Investments IV LP	Partnership interest
Aristar Ventures I, LLC	Membership interest
Aristar Ventures I-C, LLC	Membership interest
A-S Klaff Equity LLC	Membership interest
BDT Capital Partners Annex Fund AIV, LP	Partnership interest
BDT Capital Partners Annex Fund LP	Partnership interest
BDT Capital Partners Fund II LP	Partnership Interest
BDT Capital Partners Fund II-X LP	Partnership interest
BK Elk Grove LLC	Membership interest
Bulls Media LLC	Membership interest
CBOE Holdings	Stock
Channel Clarity Holdings LLC	Membership interest
Chicago Bulls LP	Partnership interest
Chicago Local Foods LLC	Membership interest
Chicago Pacific Founders Fund LP	Partnership interest
Chicago Ventures	Stock
Citadel Tactical Trading LLC	Membership interest
Citadel Wellington LLC	Membership interest
Cloud 2011 LLC	Membership interest
Cypress Cellular LP	Partnership interest
DBMC Associates LLC	Membership interest
Digital Greensigns LLC	Membership interest
EatStreet.com	Stock
Edo Interactive	Stock
Endeavor Associates Fund IV	Partnership interest
Endeavor Capital Fund V AIV LP	Partnership interest
Firestarter Fund LLC	Membership interest
Flexpoint Fund II LP	Partnership interest
Flexpoint Fund LP	Partnership interest
Giggle	Stock

**FILED**  
**INDEX DEPARTMENT**  
 APR 28 2017  
 IN THE OFFICE OF  
 SECRETARY OF STATE

Global Long Short Partners	Partnership interest
GMO US Quality Fund III	Stock
Goldman Sachs Group Inc CMN	Stock
GTCR Investment Partners LLC	Membership interest
GTCR Leasing LLC	Membership interest
GTCR Management X LP	Partnership interest
GTCR Partners IX AIV Ironshore LP	Partnership interest
GTCR Partners IX LP	Partnership interest
GTCR Partners VI LP	Partnership interest
GTCR Partners VIII LP	Partnership interest
GTCR Partners X/A&CAIV LP	Partnership interest
GTCR Partners X/A&CLP	Partnership interest
GTCR Partners X/B LP	Partnership interest
HIGI Holdings LLC	Membership interest
Hilltop Holdings Inc.	Stock
Indemand Investors LLC	Membership interest
Intelity Solutions Inc	Stock
Intercontinental Exchange	Stock
Intermedia Partners VII LP	Partnership interest
Iron Partners Holdings LLC	Membership interest
JetPay	Stock
JP Morgan Multi-Strategy Fund II	Partnership interest
JPM Chase and Co CMN	Stock
Jumping Feline LLC	Membership interest
Kids Sports Entertainment Inc.	Stock
Kirkpatrick Capital Partners Fund I LP	Partnership interest
Klister, LLC	Membership interest
K-Saturn	Stock
Laboratory Corporation of America Holdings	Stock
LCMC Associates LLC	Membership interest
Maxlinear Inc– Class A	Stock
Morgan Stanley Real Estate Fund VII Global-TLP	Partnership interest
Mount Kellettt Capital Partners II Access Fund LP	Partnership interest
MPG HSRE Joint Venture	Partnership interest
NESV I LLC	Membership interest
Pangea Properties	Stock
Peak6 Investments LP	Partnership interest
Performance Health Systems	Stock
Pittsburgh Steelers Sports, Inc.	Stock
Privatebankcorp, Inc CMN	Stock
Prospect Partners II LP	Partnership interest
Prospect Partners III LP	Partnership interest
Prospect Partners LP	Partnership interest
Raise Marketplace	Stock
Rapids LLC	Membership interest
Restorsea Holdings LLC	Membership interest
Roundtable Emerging Markets LP	Partnership interest
Roundtable Event Driven LP	Partnership interest

**FILED**  
**INDEX DEPARTMENT**

APR 28 2017

**IN THE OFFICE OF**  
**SECRETARY OF STATE**

Roundtable Hedge Strategies Offshore LP	Partnership interest
Roundtable International Developed Equity LP	Partnership interest
Roundtable Investment Partners LLC	Membership interest
Roundtable Long Short Equity LP	Partnership interest
Roundtable Private Assets LP	Partnership interest
Roundtable US Equity LP	Partnership interest
Sand Springs LP	Partnership interest
SCP Worldwide I LP	Partnership interest
Scribe Aggregator LLC	Membership interest
Sotheby's CMN Class A	Stock
SSE PLC	Stock
Statoil ASA	Stock
SteadyMed	Stock
Supervalu Inc	Stock
Syncardia Systems Inc.	Stock
Tripletree Equity Fund III LLC	Membership interest
Tripletree Holdings LLC	Membership interest
Tripost Capital RCG Investments, LP	Partnership interest
Visa Inc CMN Class A V	Stock
Vital Therapies	Stock
VR Acquisitions LLC	Membership interest
Water Street Healthcare Partners II AIV LP	Partnership interest
Water Street Healthcare Partners II LP	Partnership interest
WHI Real Estate Partners III, LP	Partnership interest
Wisdomtree Investments Inc	Stock
Zest Healthcare	Stock

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**INDEX DEPARTMENT**  
 APR 28 2017  
 IN THE OFFICE OF  
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**Question 4: List the identity of any capital asset from which a capital gain of \$5,000 or more was realized during the preceding calendar year.**

**Entity Name**

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2U Inc CMN  
Arbor Investments II – AIV Fund QP LP  
Arbor Investments II QP LP  
A-S Klaff Equity LLC  
Brookside Capital Partners Fund LP  
Chrysalis Ventures III LP  
Citadel Tactical Trading LLC  
Citadel Wellington LLC  
Clearline Capital Partners LP  
Continuum 700 LLC  
DFA US Core Equity 1 Portfolio  
Endeavor Capital Fund VI LP  
Fisher Lynch Venture Partnership II-A LP  
Flexpoint Fund II LP  
Global Long Short Partners  
GMO US Quality Fund III  
GS Capital Partners 2000 LP  
GTCR Partners VI LP  
GTCR Partners IX LP  
GTCR Partners VIII LP  
GTCR Partners X/A&CLP  
GTCR Partners X/A&CAIV LP  
GTCR Partners X/B LP  
iShares Russell 1000 Value Index Fund  
iShares Russell Midcap Index Fund  
Intermedia Partners VII LP  
JP Morgan Chase and Co CMN  
JP Morgan Multi-Strategy Fund II  
JP Morgan US Real Estate Income & Growth Domestic LP  
JPM Market Expansion Index FD – Sel  
JPM US Large Cap Core Plus FD – Sel  
KIC Co – Invest  
Kirkpatrick Capital Partners Fund I LP  
K-Saturn  
Lone Cascade LP  
Matthews Pacific Tiger Instl Fund  
Morgan Stanley Real Estate Fund VII Global-TLP  
Mount Kellett Capital Partners II Access Fund  
NESV I LLC  
Novartis AG  
Peak6 Investments LP

**FILED**  
**INDEX DEPARTMENT**

**APR 28 2017**

**IN THE OFFICE OF**  
**SECRETARY OF STATE**

Privatebankcorp Inc. CMN  
Pittsburgh Steelers Sports Inc  
Prospect Partners II LP  
Roundtable Investment Partners LLC  
Roundtable Emerging Markets LP  
Roundtable International Developed Equity LP  
Roundtable US Equity LP  
RSD & Associates LP  
Sailing Stone Global Natural Resources Fund LP  
Sirios Capital Partners II LP  
Tradeking Holdings LLC  
Vanguard REIT ETF  
Verifone Systems Inc  
Voyager Global Master Fund LP  
Water Street Healthcare Partners II LP  
Windhorse Partners LP

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**INDIA DEPARTMENT**

APR 28 2017

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**SECRETARY OF STATE**

**Question 6: List the name of any entity doing business in the State of Illinois from which income in excess of \$1,200 was derived during the preceding calendar year, other than for professional services, and the title or description of any position held in that entity. (In the case of real estate, location thereof shall be listed by street address or, if none, by legal description.) No time or demand deposit in a financial institution nor any debt instrument need be listed.**

<u>Entity Name</u>	<u>Position Held</u>
2U Inc. CMN	
233 S. Wacker Investments LLC	
Arbor Investment II – AIV Fund QP LP	
Arbor Investments II QP LP	
Arbor Investment III LP	
A-S Klaff Equity LLC	
BDT Capital Partners Annex Fund AIV, LP	
BDT Capital Partners Annex Fund LP	
BDT Capital Partners Fund II-X, LP	
Bulls Media LLC	
CBOE Holdings	
Chicago Bulls LP	
Chicago Ventures	
Citadel Tactical Trading LLC	
Citadel Wellington LLC	
DBMC Associates LLC	
Digital Greensigns LLC	
Endeavour Capital Fund V AIV LP	
Flexpoint Fund LP	
Flexpoint Fund II LP	
Global Long Short Partners	
GMO US Quality Fund II	
Goldman Sachs Group Inc CMN	
GTCR Leasing LLC	
GTCR Management X LP	
GTCR Partners IX LP	
GTCR Partners VI LP	
GTCR Partners VIII LP	
GTCR Partners X/A&C LP	
GTCR Partners X/B LP	
Intelity Solutions, Inc.	
Intercontinental Exchange (ICE)	
Intermedia Partners VII LP	
JP Morgan Multi-Strategy Fund II	
JP Morgan Chase and Co CMN	
Kirkpatrick Capital Partners Fund I LP	
K-Saturn	
Morgan Stanley Real Estate Fund VII Global-TLP	
Mount Kellett Capital Partners II Access Fund LP	

**FILED  
INDEX DEPARTMENT**

**APR 28 2017**

**IN THE OFFICE OF  
SECRETARY OF STATE**



NESV I LLC  
Pangea Properties  
Peak6 Investments LP  
Pittsburgh Steelers Sports, Inc.  
Privatebankcorp, Inc CMN  
Prospect Partners II LP  
Prospect Partners III LP  
Rapids LLC  
Reliefban Medical Technologies  
Roundtable Investment Partners LLC  
Roundtable Emerging Markets LP  
Roundtable Event Driven LP  
Roundtable Hedge Strategies Offshore LP  
Roundtable International Developed Equity LP  
Roundtable Long Short Equity LP  
Roundtable Private Assets LP  
Roundtable US Equity LP  
Socore Energy LLC  
SSE PLC  
Statoil ASA  
Tripletree Equity Fund III LLC  
Tripletree Holdings LLC  
Tripost Capital RCG Investments, LP  
Visa Inc CMN Class A  
Water Street Healthcare Partners II LP  
WHI Real Estate Partners III, LP  
Wisdomtree Investments Inc.

**FILED**  
**INDEX DEPARTMENT**  
APR 28 2017  
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SECRETARY OF STATE

# Supplemental Statement of Economic Interest

For officers and employees subject to the jurisdiction of the Illinois Governor per Executive Order 15-09

APR 28 2017

New

Revision

Executive Order 15-09, "Executive Order to Ensure Ethical and Responsive Government," requires certain officers and employees to disclose and file the following information with the Executive Ethics Commission on or before May 1 of each year. Your agency has identified you as an employee or officer who is required to complete the Supplemental Statement of Economic Interest.

An electronic filing system is available for most persons filing the Supplemental Statement of Economic Interest. Use of this paper process is necessary where electronic filing is not possible, or where an electronic filing needs correction or to be supplemented. **Please contact your ethics officer if you are uncertain as to whether you should be filing electronically in lieu of paper.**

**Instructions:** Please consult guidance at [www.illinois.gov/eec/ExecutiveOrder/Pages/default.aspx](http://www.illinois.gov/eec/ExecutiveOrder/Pages/default.aspx). Thereafter, complete the following disclosures concerning calendar year 2016. Attach additional sheets if necessary. Return the completed, signed form by May 1, 2017 to the Executive Ethics Commission, 401 S. Spring Street, Wm. Stratton Bldg. Room 513, Springfield, Illinois 62706.

1. During the preceding calendar year, did you, your spouse, or minor child have a financial interest of greater than 5% in any real property for which the State of Illinois is a tenant, lessor or has some other ownership or beneficial interest? If yes, disclose the address and describe the nature of your interest in the real property (Do **not** include a primary personal residence.)

Yes

No

If yes, give the address and describe the nature of your ownership interest:

N/A

2. During the preceding calendar year, did you hold any non-governmental position(s) with any business entity, non-profit organization, labor group, educational institution, or other entity of any type?

Yes

No

If yes, disclose the name of entity, the non-governmental position, the nature of compensation, and estimate whether you received no income or value, income or value of less than \$5,000, or income or value equal to or greater than \$5,000:

Adler Planetarium Board of Trustees --- ex officio trustee --- no (0) compensation

**NOTE: Return the completed, signed form via mail to the Executive Ethics Commission,  
401 S. Spring Street, Wm. Stratton Bldg. Room 513, Springfield, Illinois 62706.**

# Supplemental Statement of Economic Interest

For officers and employees subject to the jurisdiction of the Illinois Governor per Executive Order 15-09

3. During the preceding calendar year, were you a party to, or have a financial interest in, any litigation involving the State of Illinois or any entity with a relationship with the State of Illinois?

"Entity with a relationship with the State of Illinois" means an entity that has a contract or grant or a direct pecuniary interest in a contract or grant with or from the State of Illinois. Do **not** include litigation where you were named as a plaintiff or defendant in your capacity as an employee or officer of the State of Illinois.

Yes       No

If yes, identify the case name(s) and the court in which such case is or was pending:

N/A

I certify to the best of my knowledge and belief the information that I provided on this Supplemental Statement of Economic Interest is a true, correct and complete statement of my economic interests as required by Executive Order 15-09.

Bruce Rauner

(Print Name)



(Signature)

4-25-17

(Date)

Governor

(Office or position of employment for which this Statement is filed)